



Russell 2000 and FTSE GEIS Microcap (US) – Free Float Update

01 October 2019

At the September review (effective from the open on 23 September 2019), the free float for **Apollo Medical Holdings (AMEH, R2000, GEIS Microcap)** was increased from 17.48% to 75.81% based on the expiration of previously locked-up shares, with the lock-ups assumed to have expired as originally scheduled on 07 June 2019. However FTSE Russell has become aware (on Friday 27 September 2019) that the lock-up period had been extended by the company, with the lock-ups now scheduled to expire on 31 January 2020. Therefore in accordance with the Correction Policy for corrections discovered during the week following the review, FTSE Russell intends to revert the free float back to the previous figure of 17.48%, effective from the open on Wednesday, 09 October 2019 and review once the lock-ups have expired.

FTSE Russell notes that for clients passively replicating the index, there is associated turnover with the free float theoretically returning back to the current free float (i.e. 75.81%) upon the expiry of the lock-ups, with implementation expected to occur at the March 2020 review (contingent on any other updates and the expiry date of the lock-ups occurring as currently scheduled). Any objections to the intended correction should be received by market close on Friday, 04 October 2019.

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