

## **Executive Order by the President of the United States of America**

## Treatment in FTSE GEIS and the FTSE Global China A Inclusion Indexes – 10 March 2021

## 10 March 2021

Further to the 'Executive Order by the President of the United States of America – Treatment in FTSE GEIS and the FTSE Global China A Inclusion Indexes' notification released on 5 March 2021, and in the absence of guidance from the Office of Foreign Assets Control (OFAC), FTSE Russell confirms the index treatment detailed in the table below effective from the open on Friday 12 March 2021.

Company Name	Country	Sedol	Local Code	Index Treatment
Advanced Micro-Fabrication Equipment Inc. (AMEC)				
Advanced Micro-Fabrication Equipment (A)	China	BK71F66	688012	The proposed addition to FTSE GEIS and associated indexes at the March 2021 semi-annual review will not proceed as previously announced
Luokong Technology Corporation (LKCO)				
Luokung Technology Corporation (N Share)	China	BJ2DJ79	LKCO	Deletion from the FTSE Global Total Cap and Micro Cap Indexes
Xiaomi Corporation				
Xiaomi (P Chip)	China	BG0ZMJ9	1810	Deletion from FTSE Global All Cap and FTSE Global China A Inclusion Indexes

The index treatment detailed above will be reflected in the March 2021 FTSE GEIS and associated indexes and the FTSE China A Inclusion Index indicative review files published from today, Wednesday 10 March 2021.

In confirming this treatment, FTSE Russel is cognisant of the legal cases being heard in the United States Courts with regard to Xiaomi Corporation and Luokung Technology Corporation's inclusion on the Department of Defence Tranche 5 list. In the absence of a conclusion to these cases ahead of the publication of this notice, FTSE Russell has determined that the effective date for the deletion of these names should proceed as previously communicated. Should the United States Courts decide in favour of Xiaomi Corporation or Luokung Technology Corporation, FTSE Russell will make a further announcement.

Please note, effective from Friday 18 December 2020, FTSE Russell has standard indexes available for index users to whom the Executive Order does not apply and who wish to retain exposure to the sanctioned securities. Such index users requiring versions of other FTSE Russell indexes that retain the sanctioned securities should contact their Relationship Management representative or email Info@ftserussell.com

For further information please contact FTSE Russell Client Services at info@ftserussell.com or call:

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