

Executive Order by the President of the United States of America

Treatment in FTSE GEIS and the FTSE Global China A Inclusion Indexes – 5 March 2021 Update

05 March 2021

Further to the 'Executive Order by the President of the United States of America - Informative Notice' [notification](#) released on Thursday 14 January 2021, FTSE Russell has evaluated the companies named on the Department of Defense [Tranche 5](#) list ("DoD T5 list").

In the absence of any guidance having been received from the Office of Foreign Assets Control (OFAC) as of the date of this notice, FTSE Russell deems the securities in the table below as being listed securities of companies named on the DoD T5 list.

Subject to the publication of further guidance from OFAC before US market close on Wednesday 10 March 2021, FTSE Russell proposes to implement the index treatment detailed in the table below effective from the open on Friday 12 March 2021.

Company name	Country	Sedol	Local Code	Index Treatment
Advanced Micro-Fabrication Equipment Inc. (AMEC)				
Advanced Micro-Fabrication Equipment (A)	China	BK71F66	688012	Proposed addition to FTSE GEIS, FTSE Global All Cap and FTSE Global China A Inclusion Indexes at the March 2021 semi-annual review will not proceed as previously announced
Luokong Technology Corporation (LKCO)				
Luokong Technology Corporation (N Share)	China	BJ2DJ79	LKCO	Deletion from the FTSE Global Total Cap and Micro Cap Indexes
Xiaomi Corporation				
Xiaomi (P Chip)	China	BG0ZMJ9	1810	Deletion from FTSE Global All Cap and FTSE Global China A Inclusion Indexes

FTSE Russell will continue to monitor for communications from OFAC and will confirm the above index treatment by US market close on Wednesday 10 March 2021.

The index treatment detailed above will be reflected in the March 2021 FTSE GEIS and associated indexes and the FTSE China A Inclusion Index indicative review files published from Wednesday 10 March 2021.

Please note, effective from Friday 18 December 2020, FTSE Russell has made standard indexes available for index users to whom the Executive Order does not apply and who wish to retain exposure to the sanctioned securities. Such index users requiring versions of other FTSE Russell indexes that retain the sanctioned securities should contact their Relationship Management representative or email Info@ftserussell.com

For further information please contact FTSE Russell Client Services at info@ftserussell.com or call:

Australia	+1800 653 680
Hong Kong	+852 2164 3333
Japan	+81 3 4563 6346
London	+44 (0) 20 7866 1810
New York	+1866 551 0617

Alternatively please visit our website at www.ftserussell.com

[Terms of Use](#) | Copyright © 2021 FTSE Russell