

Executive Order by the President of the United States of America

Treatment in FTSE GEIS and the FTSE Global China A Inclusion Indexes - 26 January 2021 Update

26 January 2021

FTSE Russell is monitoring for communications from the Office of Foreign Assets Control (OFAC) in relation to the General License No. 1 (GL-1) issued on 8 January 2021 which authorizes transactions and activities until 28 January 2021 in targeted securities whose names closely match the entities covered by the Executive Order 13959, but have not as yet been listed on the OFAC's 'Non-SDN Communist Chinese Military Companies List' (NS-CCMC List).

FTSE Russell is aware that the Securities Industry and Financial Markets Association (SIFMA) has published a list on 24 January 2021 intended to capture securities with names that SIFMA considers "closely match" the names of issuers on the NS-CCMC List published by OFAC on 8 January 2021. FTSE Russell continues to consult with index users and other stakeholders to determine whether these "close match" names should be deleted from FTSE Russell global indexes before the expiry of GL-1 at 09:30 EST on Thursday 28 January.

Ahead of reaching such a determination, the information in the table below is intended to provide clients with the potential index impact should FTSE Russell determine that these securities are in the scope of GL-1:

Company name	Country	Sedol	Local Code	FTSE Global All Cap Index	FTSE Global China A Inclusion Index
CGN Power Co Ltd					
CGN Power (H)	China	BSBMM04	1816	Large Cap 0.0039%	Large Cap 0.0039%
CGN Power (A)	China	BJ9MMN6	3816	Non-constituent	Large Cap 0.0003%
CGN Power (A) (SC SZ)	China	BKT6GZ5	3816	Large Cap 0.0003%	Non-constituent
China National Nuclear Power Co Ltd					
China National Nuclear Power (A)	China	BYL7784	601985	Non-constituent	Large Cap 0.0011%
China National Nuclear Power (A) (SC SH)	China	BYQDNJ0	601985	Large Cap 0.00110%	Non-constituent
China Shipbuilding Industry Co Ltd					
China Shipbuilding Industry (A)	China	B4X9DB3	601989	Non-constituent	Large Cap 0.0015%
China Shipbuilding Industry (A) (SC SH)	China	BP3R2R3	601989	Large Cap 0.0015%	Non-constituent
Inspur International Ltd					
Inspur International (Red Chip)	China	BGLP953	596	Small Cap	Small Cap

				0.00023%	0.00023%
Sinochem International Corp					
Sinochem International (A)	China	6203104	600500	Non-constituent	Mid Cap 0.00022%

FTSE Russell will continue to monitor for any communications from OFAC throughout today and will provide an update on index treatment no later than 17:00 GMT (12:00 EST) 26 January 2021.

For further information please contact FTSE Russell Client Services at info@ftserussell.com or call:

Australia	+1800 653 680
Hong Kong	+852 2164 3333
Japan	+81 3 4563 6346
London	+44 (0) 20 7866 1810
New York	+1866 551 0617

Alternatively please visit our website at www.ftserussell.com

Terms of Use | Copyright © 2021 FTSE Russell