

Executive Order by the President of the United States of America - Treatment in FTSE China and FTSE China A Indexes – 22 December 2020 Update

22 December 2020

Further to the “Executive Order by the President of the United States of America” [notification](#) released on 4 December 2020 and the email notification issued to index subscribers on 11 December 2020, FTSE Russell has considered feedback from market participants and evaluated the listed securities of the 35 companies named on the Department of Defense Section 1237 of the National Defense Authorization Act for Fiscal Year 1999 [lists](#) and announces that the below index constituents will be deleted from the FTSE China A50 Index and the FTSE China 50 Index effective from the open on Thursday 7 January 2021.

Company name	Country	Sedol Red Chip	Sedol CNY	Sedol CNH	Local Code	FTSE China A50 Index	FTSE China 50 Index
Semiconductor Manufacturing International Corporation (SMIC)							
Semiconductor Manufacturing International Corporation (Red Chip)	China	BDFBM13	-	-	0981	Non Constituent	Constituent
Hangzhou Hikvision Digital Technology Co., Ltd. (Hikvision)							
Hangzhou Hikvision Digital Technology (A)	China	-	B450X03	BD5CPQ2	002415	Constituent	Non Constituent

In conjunction with the Ground Rules, the highest ranking company by full market capitalisation eligible in the appropriate Reserve list as at the close of the calculation two days prior to the deletion (i.e. close of Monday 4 January 2021) will be selected to replace the above deletions in the FTSE China A50 and the FTSE China 50 Index respectively. FTSE Russell will issue a notice on Monday 4 January 2021 confirming the details. Please note that the FTSE China 50 will not be re-capped as a result of the above change.

FTSE Russell will continue to monitor for any further updates from the Department of Defense (DoD) and the Office for Foreign Assets Control (OFAC) and any companies that are added to the DoD Section 1237 lists or named by OFAC as being subject to sanctions under this Executive Order by the US close on Friday 1 January 2021 will be evaluated for potential exclusion by FTSE Russell. Any consequent changes to the FTSE China and FTSE China A Indexes will be announced on

Monday 4 January 2021 with any additional deletions being effective from the open on Thursday 7 January 2021.

Please note that the FTSE China A Free All Cap Index and FTSE China A All-Share Index are not affected by the Executive Order and therefore will continue to retain the sanctioned securities.

For further information please contact FTSE Russell Client Services at info@ftserussell.com or call:

Australia	+1800 653 680
Hong Kong	+852 2164 3333
Japan	+81 3 4563 6346
London	+44 (0) 20 7866 1810
New York	+1866 551 0617

Alternatively please visit our website at www.ftserussell.com

[Terms of Use](#) | Copyright © 2020 FTSE Russell