

FTSE4Good Index Series - Ground Rule Update

17 January 2019

FTSE Russell would like to inform clients of the following updates to the FTSE4Good Index Series Ground Rules which is effective immediately (updates highlighted in bold below):

Section 6: Eligibility Criteria

6.2.1 Exclusions

Companies which manufacture or produce the products below are not included in the FTSE4Good Index Series. Constituents of the FTSE4Good Index Series which subsequent to their inclusion become involved in the manufacture or production of the products set out below will be removed from the FTSE4Good Index Series at the next Index review:

- Tobacco
- **Weapons**
 - o **Controversial weapons: nuclear weapons, chemical & biological weapons, cluster munitions, anti-personnel mines**
 - o **Components for controversial weapons**
 - o **Conventional military weapons or military personnel**
 - o **Firearms**
- Coal - companies that are categorised within the Industry Classification Benchmark as Coal (Subsector 1771).
- Investment Trusts - companies in the ICB Subsectors 8985 and 8995 are ineligible for the FTSE4Good Index **as these sectors represent a portfolio of stocks and are not included in FTSE Russell's ESG research.**

An updated copy of the FTSE4Good Index Series Ground Rules (v3.2) is now available on the FTSE Russell website: www.ftserussell.com

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