



## FTSE4Good Index Series - Ground Rule Update

04 September 2023

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FTSE Russell would like to inform clients of the following clarifications to the Ground Rules of the FTSE4Good Index Series which are effective immediately.

### **Section 6: Eligibility Criteria – Rule 6.2.2. has been clarified as follows:**

#### **New Rule**

6.2.2. Nuclear power generation and manufacturers of infant formula and breast milk substitutes  
In addition to the inclusion criteria set out above, companies involved in offering products/services in more controversial business areas need to meet a higher requirement for inclusion:

- Companies involved in nuclear power generation must meet all except one of the applicable sector specific indicators in the Health & Safety Theme.
- Companies involved in manufacturing and marketing of infant formula and breast milk substitutes must meet all except one of the sector specific indicators in the Customer Responsibility Theme.

#### **Old Rule**

6.2.2. Nuclear power generation, manufacturers of infant formula and breast milk substitutes  
In addition to the inclusion criteria set out above, companies involved in more controversial business areas need to meet a higher requirement for inclusion:

- Nuclear power generation companies must meet all except one of the applicable sector specific indicators in the Health & Safety Theme.
- Companies involved in manufacturing infant formula must meet all except one of the sector specific indicators in the Customer Responsibility Theme.

### **Section 8: Periodic Review of Constituents**

#### **New Rule**

8.2 Index reviews will use FTSE ESG data based on the Annual Report and Corporate Sustainability Report (CSR), or Integrated report, published by the last business day of March (for the June review) and September (for the December Review). All reports need to be published with the same fiscal year to feed into ESG data ahead of an index review. If a company reports to CDP, this report also needs to be available for FTSE Russell to access by the last business day of March or September.

#### **Old Rule**

8.2 Index reviews will use FTSE ESG data based on disclosures published by the last business day of March (for the June review) and September (for the December Review). Index reviews will use market data as at the close of business of the Monday 4 weeks prior to review effective date.

An updated copy of the FTSE4Good Index Series Ground Rules (Version 5.0) is now available using the following link: [FTSE4Good\\_Index\\_Series\\_Ground\\_Rules.pdf](#) or from FTSE Russell.

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