

## FTSE Nasdaq Dubai Index Series – Ground Rule Update

28 April 2022

FTSE Russell would like to inform clients of the following update to the FTSE Nasdaq Dubai Index Series Ground Rules which are effective immediately.

### Section 5: Periodic Review of Constituent Companies

#### New Rule

##### 5.1 Review Dates

- 5.1.1 The FTSE Nasdaq Dubai Index Series will be reviewed on a semi-annual basis in April and October based on data from the last working day of March and September. The reviews will be implemented for the **open of Monday after the third Friday** of April and October.

#### Old Rule

##### 5.1 Review Dates

- 5.1.1 The FTSE Nasdaq Dubai Index Series will be reviewed on a semi-annual basis in April and October based on data from the last working day of March and September. The reviews will be implemented after the close of business on Thursday prior to the third Friday of April and October.

### Section 6: Changes to Constituent Companies

The Fast Entry rule has been updated as follows

#### New Rule

##### 6.0 New Issues - Fast Entry

- 6.1.1 Additions into the Index Series apart from new issues will only be applied at the next periodic review. New issues will be included into the appropriate FTSE Nasdaq Dubai Index intra-review if the criteria set out in Section 1.2 are achieved. The intra-quarter addition of an eligible new security to the index will be implemented after the close of business on the fifth day of trading. In the event of the fifth day of trading occurring during the week of the index review, an eligible fast entrant will be added to the index concurrent with the review effective date.
- 6.1.2 For a new issue to qualify for inclusion into the appropriate FTSE Nasdaq Dubai Index its full market capitalisation i.e. before the application of any investability weighting, is required to be above the position stated below, calculated using close prices on the first day of trading of the new issue:

FTSE Nasdaq Dubai UAE 20 Index	-	5th position or above
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FTSE Nasdaq Dubai Kuwait 15 Index	-	5th position or above
FTSE Nasdaq Dubai Qatar 10 Index	-	5th position or above

## Old Rule

### 6.0 Changes to Constituent Companies

#### 6.1 New Issues

6.1.1 Additions into the Index Series apart from new issues will only be applied at the next periodic review. If a new issue is so large that the effectiveness of the relevant FTSE Nasdaq Dubai Index would be significantly and adversely affected by its omission, the new issue will be included into the appropriate FTSE Nasdaq Dubai Index.

6.1.2 For a new issue to qualify for inclusion into the appropriate FTSE Nasdaq Dubai Index its full market capitalisation i.e. before the application of any investability weighting, is required to be above the position stated below:

FTSE Nasdaq Dubai UAE 20 Index	-	5th position or above
FTSE Nasdaq Dubai Kuwait 15 Index	-	5th position or above
FTSE Nasdaq Dubai Qatar 10 Index	-	5th position or above

An updated copy of the FTSE Nasdaq Dubai Index Series Ground Rules (v3.9) is now available using the following link:

[FTSE\\_NASDAQ\\_Dubai\\_Index\\_Series.pdf](#)

Or on the FTSE Russell website: [www.ftserussell.com](http://www.ftserussell.com)

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