

Executive Order by the President of the United States of America – Proposed Treatment of Updated EO 13959

09 June 2021

Further to the 'Executive Order by the President of the United States of America – Updated EO 13959' [notification](#) published on 03 June 2021, FTSE Russell announces that following an evaluation of the [Non-SDN Chinese Military - Industrial Complex Companies List \(NS-CMIC List\)](#), the securities listed in the table below, that were previously deleted from the FTSE Global Equity Index Series (GEIS), the FTSE Global China A Inclusion Indices and associated indices, no-longer fall within the scope of the updated EO 13959.

Consequently, FTSE Russell proposes to add these securities to FTSE GEIS, the FTSE Global China A Inclusion Indices and associated **market capitalisation weighted** indices in conjunction with the June 2021 quarterly review, effective from the open on Monday 21 June 2021.

Company name	Country	Sedol	Local Code	FTSE Global Equity index Series	FTSE Global China A Inclusion Index
China United Network Communications (A)	China	6547998	600050	-	Large
China United Network Communications (A) (Stock Connect)	China	BP3R2X9	600050	Large	-
CRRC (H)	China	B2R2ZC9	1766	Large	Large
CRRC (A)	China	B3CPT84	601766	-	Large
CRRC (A) (Stock Connect)	China	BP3R358	601766	Large	-
Dawning Information Industry (A)	China	BRKB8G3	603019	-	Large
Dawning Information Industry (A) (Stock Connect)	China	BZ0D207	603019	Large	-

The above listed securities will be assessed for index eligibility for the non-market capitalisation weighted indexes in conjunction with the next index review of those index series. For example, these securities will be assessed for index eligibility for the FTSE RAFI and Russell RAFI index series as part of the March 2022 annual review.

FTSE Russell continues to evaluate the NS-CMIC List to which new securities fall within the scope of EO 13959 and will confirm the index treatment of those names in advance of the 02 August 2021 deadline to be in compliance with EO 13959.

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