

Executive Order by the President of the United States of America Informative Notice 12 January 2021 Update

12 January 2021

Further to the 'Executive Order by the President of the United States of America – Informative Notice' notification released on 08 January 2021, FTSE Russell has evaluated the Non-SDN Communist Chinese Military Companies List dated 08 January 2021 that was published by the Office of Foreign Assets Control (OFAC) relating to the Executive Order by the President of the United States of America (Executive Order 13959) announced on 12 November 2020.

FTSE Russell notes the inclusion of the HK ticker for CNOOC Limited (Red Chip, 883HK) in the OFAC notice; China National Offshore Oil Corp itself was one of the companies added to the Department of Defence (DoD) Section 1237 of the National Defence Authorization Act for Fiscal Year 1999 List dated 03 December 2020 which stated that purchase transactions by US persons in the companies named in that announcement would be prohibited after sixty days from the date of its publication (i.e. from 01 February 2021).

FTSE Russell will continue to monitor the DoD and OFAC websites for any further updates and will provide an update on the implications for FTSE Russell indexes on or before Monday 25 January 2021.

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