

FTSE Global Equity Index Series – Ground Rule Update

28 May 2020

FTSE Russell would like to inform clients of the following updates to the FTSE Global Equity Index Series effective immediately:

Section 7:

Rule 7.4 Screen Applied to Eligible Securities (update in bold)

7.4.2 **Northbound Stock Connect Screen:** On a quarterly basis in March, June, September and December, constituents of the FTSE China A Indexes that are eligible under the Northbound China Stock Connect Scheme Buy-and-Sell list will be included in the FTSE Global Equity Index Series and constitute the FTSE China A Stock Connect Indexes. **The cut-off date for this screening is the close of business on the Thursday four weeks prior to the third Friday of the review month. For illustration purposes, the cut off was Thursday 21 November 2019 for the December 2019 review.**

Please note: the cut-off date for ranking remains in line with the FTSE Global Equity Index Series review.

Section 8: Additions outside of a Review (update in bold)

8.1.3 F Variable and best effort IPOs and those direct listing IPOs (which do not qualify as a fast entrant) will be considered for index inclusion at the next quarterly review if, by the review cut-off date, a public disclosure* is available confirming either the actual number of shares sold during the offering or the post IPO shareholder structure. Index inclusion remains subject to meeting all other eligibility criteria. If the number of shares sold during the IPO, or the post IPO shareholder structure, remains unknown on the review cut-off date, the evaluation of the company will be deferred to a subsequent quarterly review. **If no disclosure is published prior to the cut-off date of the following quarter, the company will be evaluated during the semi-annual review process only (and inclusion will remain contingent on the above disclosures).**

*A public disclosure is typically by way of a company filing, stock exchange notice or shareholding regulatory announcement.

An updated copy of the FTSE Global Equity Index Series Ground Rules (v9.5) is now available using the following link:

[FTSE_Global_Equity_Index_Series.pdf](#)

Or on the FTSE Russell website: www.ftserussell.com

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